



KYC Questionnaire

COMPANY DETAILS	
Name	
Registered Address	
Business Address	
Phone Number	
Date of Incorporation	
Country of Incorporation	
Business Registration Number	
Tax Identification/Registration number	
If listed, indicate name of stock exchange(s) and ticker	
Website	
External Financial Auditors	
How many direct and indirect subsidiaries does the company have?	

BUSINESS ACTIVITY	
Type of Business	
Description of core business activity	
Does the company hold a license to conduct its business(es)? <i>Please provide a copy(ies)</i>	
Main Market	
Main Products	



BENEFICIAL OWNERS - SHAREHOLDER(S) (MORE THAN 25%)

Percentage Holding (%)	Name	Address	Country of Incorporation/ Nationality(ies)	Date of Incorporation/ Date of Birth

ULTIMATE BENEFICIAL OWNER (MORE THAN 25% - INDIVIDUAL ONLY)

Percentage Holding (%)	Name	Address	Country of Incorporation/ Nationality(ies)	Date of Incorporation/ Date of Birth

MANAGEMENT STRUCTURE

	Names	Title	Nationality(ies)	Date of Birth
Board of Directors				
Management				

HUMAN RESOURCES

Number of Employees within the Company	
Number of Employees within the Group	

ORIGIN OF PHYSICAL PRECIOUS METALS

Profile of your precious metals suppliers (Individual / Company)	
Country(ies) of origin of precious metals delivered to us?	
Countries of destination of precious metals delivered once refined?	
Is the Company legally required to have a licence to import precious metals? <i>Please provide a copy if YES</i>	
Is the Company legally required to have a licence to export precious metals? <i>Please provide a copy if YES</i>	



RESPONSIBLE PRECIOUS METAL SUPPLY CHAIN POLICY

<p>Did your institution establish a responsible supply chain of gold from conflict-affected and high risk areas policy which is consistent with the standards set forth in the model supply chain policy in Annex II of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas?</p>	
<p>Does your institution comply or plan to comply with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas?</p>	
<p>Is the company complying with any of the following industry initiatives:</p> <ul style="list-style-type: none"> • LBMA Responsible Gold Guidance • RJC Chain of Custody Standard • WGC Conflict Free Gold Standard • Conflict Free Smelter (CFS) Program • Others, please specify: 	<p><i>Additional comments:</i></p>

ANTI MONEY LANDERING (AML) - COMBATING FINANCIAL TERRORISM (CFT)

<p>Is your institution subject to Anti-Money Laundering/Combating financial terrorism Law/Regulation</p>	
<p>Name of the AML-CFT Law/Regulation</p>	
<p>Name of the Regulator</p>	
<p>Has your institution established a conformity program that contains AML/CFT policies and procedures, according to internal & international laws, rules and standards?</p>	

BRIBERY POLICY

<p>Does your Company have any bribery policy in place?</p>	
<p>Has the company or the Senior Management ever been charged anywhere in the world for violation of applicable anti-bribery laws or regulations?</p>	

DATA PRIVACY PROTECTION

<p>Does your company have Data Protection Policy?</p>	
<p>Does your company have Data Protection Commissioner?</p>	



Comments / Additional information (please indicate which question the information is referring to):

SIGNATURE

I hereby declare that the information given above is true and accurate as of the date of writing.

	Authorised Signatory	Authorised Signatory
Signature		
Print Name		
Title		
Company Name		
Date and location		